

**UNITED STATES PATENT APPLICATION**

of

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and

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for

**INTERACTIVE TELEVISION RECEIVER UNIT  
BROWSER THAT WAITS TO SEND REQUESTS**

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**BACKGROUND OF THE INVENTION**

**1. Related Applications**

This application is a continuation of U.S. Patent Application Serial No. 09/345,251, filed June 30, 1999, entitled, "Interactive Television Receiver Unit Browser That Waits to Send Requests," which is hereby incorporated by reference.

**2. Background and Related Art**

Figure 1 (Prior Art) is a diagram of an interactive television receiver unit 100 that is coupled to a server 101 via a packet-switched network such as the Internet 102. "Triggers" 103 are broadcast along with television video 104 so that viewers can view appropriate web content along with television video at appropriate points in the television video.

One example of such an interactive television receiver unit 100 is a WebTV® Internet Terminal available from WebTV Networks, Inc., of Mountain View, California. In the illustrated example, receiver unit 100 includes a television tuner and receiver 106, a modem 107, an audio digital-to-analog converter (DAC) and video encoder 108, and an infrared interface 109. Receiver unit 100 receives triggers 103 and television video 104 via an antenna 110 and the television tuner and receiver 106. Receiver unit 100 is coupled to the Internet 102 via modem 107. Receiver unit 100 is coupled to an ordinary analog television 111 via audio DAC and video encoder 108 and a video link 112 so that receiver unit 100 can use the television screen 113 of television 111 as a display device. A viewer interacts with receiver unit 100 via an infrared remote control unit 134 that is coupled to receiver unit 100 via the infrared interface 109.

A viewer can receive an advertisement for an item and can use receiver unit 100 to order the item as follows. At an appropriate point in the television video, a trigger 103 is broadcast

1 along with the broadcast television video 104. The trigger 103 is received on antenna 110 and  
2 causes browser software 114 to display an icon (not shown) on screen 113 along with the  
3 television video. The icon queries the user if the user wants to purchase the item. If the viewer  
4 selects the icon using the handheld remote control unit 134, then browser 114 uses a Uniform  
5 Resource Locator (URL) from the trigger 103 to retrieve an identified order form web page 115.  
6 In the illustrated example, the identified order form web page 115 is retrieved from a merchant's  
7 server 101 via the Internet 102.

8 Figure 2 (Prior Art) depicts hypertext markup language (HTML) code of web page 115.  
9 Web page 115 includes a form area 116 defined by a beginning form tag <FORM> 117 and an  
10 ending form tag </FORM> 118. Within this form area 116, there are four lines 119-122 of  
11 HTML code. Browser 114 of receiver unit 100 renders the first line 119 by displaying the text  
12 "ORDER FORM" on the screen of the receiver unit. Figure 3 illustrates the text "ORDER  
13 FORM" 123 displayed on the viewer's screen 113.

14 Browser 114 renders the second line 120 by displaying the text "NAME:" 124 on screen  
15 113 and records information entered by the user in a designed space 125 on screen 113.  
16 Similarly, browser 114 renders the third line 121 by displaying the text "CREDIT CARD:" 126  
17 on screen 113 and records information entered by the user in a designated space 127 on screen  
18 113. Browser 114 renders the fourth line 122 by displaying a "SUBMIT" button 128 on screen  
19 113. When the viewer selects the submit button 128 using the handheld remote control unit 134,  
20 browser 114 sends the recorded information from spaces 125 and 127 to a destination identified  
21 by a URL 129 of the form tag 117.

22 In the illustrated example, URL 129 identifies a particular file on server 101 that contains  
23 a Common Gateway Interface (CGI) program 130 and a database 131. CGI program 130 can be  
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written in any one of a number of suitable languages including C++ and scripting languages. The name and credit card information from fields 125 and 127 is sent in the form of an HTTP request 132 from receiver unit 100 to the CGI program 130. When HTTP request 132 is received, server 101 sends an HTTP response 133 having an HTTP status code back to receiver unit 100. If HTTP request 132 was properly received, then CGI program 130 writes the name and credit card information into data base 131 and sends a "110 OK" status code back to the receiver unit 100 indicating that the request was received properly. The merchant who sells the item can then access data base 131, identify the order to be filled, and fill the order.

The use of such a broadcast trigger may, however, lead to problems. Triggers broadcast along with television video are typically received by a great many receiver units all at roughly the same time. As a result, many receiver units may attempt to access the same web page and order form resources at the same time. Throughput bottlenecks and overloading at the server may result. Accordingly, many potential customers may not be able to access the order form during the overloading period, thereby preventing the ordering of the item, and leading to lost sales. A solution is desired.

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SUMMARY

Rather than immediately attempting to send a request, an interactive television receiver unit browser waits a period of time (for example, a random period) before sending the request to the server. By backing off the sending of requests, accessing of the server can be smoothed out over time.

In one embodiment, a trigger is received on an interactive television receiver unit causing an icon to be displayed on the receiver unit. If the viewer selects the icon, then a browser in the receiver unit retrieves a web page on the Internet identified by a Uniform Resource Locator (URL) in the trigger. The web page includes an indication of a destination, scheduling information, and a form area. The viewer enters user information in association with the form area. The browser captures that user information, incorporates it into a request, and then stores the request in a queue along with the scheduling information. The browser periodically checks the scheduling information in the queue and determines from the scheduling information if it is time to send the request. When the browser determines the time has come to send a request in the queue, the browser retrieves the request and sends it to the destination. Because the scheduling information and destination is under the control of the web page author, the web page author can vary scheduling information from access to access so that return requests from receiver units are spread out over time, thereby reducing or eliminating problems associated with simultaneously sending large numbers of requests to the same destination.

In addition to being usable to eliminate throughput bottlenecks by spreading accesses of a destination out over time, the invention is also usable to move accessing of a destination to a desired time slot. In some situations is it more economical to send responses to a destination at some times than at other times. Sending responses to the destination during low usage times

1 during the night is often less expensive than sending the same responses during relatively high  
2 usage times in the middle of the workday. A receiver unit in accordance with one embodiment  
3 of the invention takes advantage of the lower cost of low usage times by deferring requests and  
4 waiting until the low usage times to send the requests to the destination.

5 In accordance with another embodiment, a service provider provides a new tier of  
6 interactive television service in which receiver units can only connect at off-peak times to send  
7 responses (and perhaps to exchange email, collect television listings data, and other non-real-  
8 time functions). Being able to control when requests are sent makes it possible to provide  
9 interactive television services to a new class of customer who wants to be able to subscribe to  
10 publications and take part in polls associated with television programming, but who is not  
11 willing or able to pay for a full Internet subscription. In one embodiment, a service option is  
12 provided whereby a receiver unit can use email (sent and received at night rather than on  
13 demand) and can send deferred responses (sent at night rather than on demand). Accordingly, a  
14 service provider provides a first more expensive tier of service involving a full Internet  
15 subscription, as well as a second less expensive tier of service wherein requests are deferred and  
16 sent during less expensive off-peak times. In the second tier of service, the transaction appears  
17 to be complete to the user without making the user wait for a dial-up connection to be  
18 established. The user experience of the second tier of service is improved by making the  
19 transaction appear complete to the user even though the response has not actually been sent.

20 Other aspects of the invention and other embodiments are described in the detailed  
21 description below. This summary does not purport to define the invention. The invention is  
22 defined by the claims.  
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**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 (Prior Art) is a simplified diagram of an interactive television receiver unit usable to order an item in response to a broadcast trigger.

Figure 2 (Prior Art) is a simplified diagram of a web page containing an order form area.

Figure 3 (Prior Art) is a simplified diagram of the screen of the receiver unit of Figure 1.

Figure 4 is a flowchart of a method in accordance with an embodiment of the present invention.

Figure 5 is a flowchart of a method in accordance with another embodiment of the present invention.

Figure 6 is a simplified diagram of a receiver unit carrying out the method of Figure 5.

## DETAILED DESCRIPTION

Figure 4 is a flowchart of a method in accordance with one embodiment of the present invention. In a first step (step 200), a receiver unit receives a first communication. The first communication received at the receiver unit includes an indication of a destination, and scheduling information. In one embodiment: 1) the first communication is a script that generates Hypertext Markup Language (HTML) that is displayed on the receiver unit as an order form web page, 2) the indication of a destination is a Uniform Resource Locator (URL) that identifies a Common Gateway Interface (CGI) program on a server, and 3) the scheduling information is an indication of an amount of time for the receiver unit to wait before sending a second communication to the destination in response.

The first communication can be communicated to the receiver unit via any of a number of suitable communication mediums including one-way terrestrial broadcast communication over the airwaves, communication over a packet-switched network, and communication over a cable. The first communication can be communicated via numerous different types of communication channels including television channels and digital radio channels. The first communication may be a script that is received by the receiver unit as part of a broadcast trigger or as part of a web page or as an attachment to a web page.

Next (step 201), a response to the first communication is prepared and is stored on the receiver unit. In an embodiment where the first communication is a script that executes on the receiver unit, the second communication is an HTTP request. The user interacts with an order form web page and enters certain information. The script takes this information and encodes it to generate the HTTP request.



1 Rather than immediately attempting to send the second communication, the receiver unit  
2 waits (step 202) a period of time determined by the scheduling information. After the period of  
3 time has expired, the receiver unit automatically sends (step 203) the second communication to  
4 the destination. In one embodiment where the response is an HTTP request containing user-  
5 entered information and where the destination is an address of a CGI script on a server, the CGI  
6 script on the server receives the user-entered information and updates a database with the user-  
7 entered information.

8 In an embodiment where a broadcast trigger would otherwise prompt numerous accesses  
9 of a single information resource on the Internet and thereby cause throughput bottlenecks and/or  
10 other information resource accessing problems, the method of Figure 4 is usable to spread out  
11 over time the accessing of the information resource so that the throughput bottlenecks and/or  
12 other information resource accessing problems are diminished or eliminated. In such a situation,  
13 the scheduling information may be an indication that the second communication is to be sent in  
14 response after a random backoff amount of time has expired. Because the scheduling  
15 information in the trigger is under the control of the author of the first communication (for  
16 example, a merchant selling an item), the author is able to tailor the scheduling information to  
17 improve access to a destination or information resource controlled by the author.

18 Figure 5 is a flowchart of another method in accordance with the present invention.  
19 Figure 6 is a diagram of a receiver unit 300 that carries out the method of Figure 5. In one  
20 embodiment, receiver unit 300 is a WebTV® Internet Terminal set-top box as described in U.S.  
21 patent application serial numbers 09/295,746 and 09/295,436 (the entire contents of these two  
22 applications is incorporated herein by reference). Receiver unit 300 includes an antenna and/or  
23 other means of receiving broadcast video and triggers, a television tuner and receiver, an infrared  
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1 remote control unit, an infrared interface for communicating with the infrared remote control  
2 unit, an audio digital-to-analog converter (DAC) and video encoder and video link for driving a  
3 television and for using the television's screen as a display device, and a modem for coupling to  
4 a packet-switched network (for example, the Internet) as illustrated and described in connection  
5 with Figure 1. This detail is omitted from Figure 6 to clarify the illustration.

6 In accordance with the method of Figure 5, browser software 301 in receiver unit 300  
7 includes an instance of a deferrer object 302, a queue 303, and a timer 304. In a first step (step  
8 400), a web page 305 (for example, HTML or XML) is loaded into browser 301. Web page 305  
9 is received with or contains a script 306. In one embodiment, a viewer uses browser 301 to  
10 locate, retrieve and view web page 305. The HTML or XML code for web page 305 is retrieved  
11 from server 307 and is transferred via a packet-switched network 308 (for example, the Internet)  
12 to receiver unit 300. Browser 301 renders the HTML or XML code of web page 305 on the  
13 screen of a television used by receiver unit 300 as a display device. In some embodiments,  
14 receiver unit 300 is coupled via a cable modem to a cable television network and web page 305  
15 is received via this cable television network.

16 In other embodiments, web page 305 is not received from packet-switched network 308,  
17 but rather is transmitted to receiver unit 300 via a one-way broadcast communication channel  
18 such as a terrestrial airwave broadcast television communication channel or a one-way satellite  
19 broadcast television communication channel. Web page 305 may be transmitted in the teletext  
20 sub-channel over vertical blanking lines (VBI) lines 10-20 of a one-way NTSC broadcast  
21 television communication channel in accordance with: 1) NABTS ("teletext") standard EIA-516;  
22 2) the IPVBI draft of February 1999 entitled "The Transmission of IP Over the Vertical Interval  
23 of a Television Signal" that describes how to decode IP packets from VBI lines 10-20; and 3) the  
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Advanced Television Enhancement Forum Specification (ATVEF) Draft Version 1.1, revision 26 specification (the entire content of these three documents is incorporated herein by reference).

Next (step 401), script 306 is interpreted by browser 301 and begins executing on the receiver unit in the context of web page 305. Script 306 may be a small JavaScript fragment. Script 306 queries browser 301 for the browser's capability by inspecting a navigator object.

If script 306 determines that browser 301 has deferrer functionality, then processing proceeds to step 402. The user interacts with web page 305 (step 402) via script 306 and/or input tags in form area 309. The viewer enters user information (for example, via HTML form tag fields) that will be sent in a deferred request. Script 306 then calls a "defer" method on deferrer object 302 so that deferrer object 302 creates a deferred request (a second communication) in the form of a URL (step 403). The destination address of the URL in this example identifies a Common Gateway Interface (CGI) program 311 on server 307. In some embodiments, the destination address (destination URL) that is supplied by script 306 is hardcoded into the script 306 whereas in other embodiments script 306 generates the destination address (destination URL) using an algorithm.

Next (step 404), script 306 calls the "defer" method on deferrer object 302 and the "defer" method returns (step 405) a request identifier (request ID) that identifies the queued request. Next (step 406), in response to the script invocation of the "defer" method, the deferrer object 302 places one or more elements indicative of the request in the queue 303. In one embodiment, the complete URL request itself 303A is placed in queue 303 along with the request ID 303B, a request time 303C, an expiration date (not shown in Fig. 6), and a status code 303D indicating the current status of the request. Request time 303C can indicate a date and/or time after which the deferred request (the second communication) should be sent, or it can

1 indicate an amount of time to wait until sending or attempting to send the request. Alternatively,  
2 request time 303C is a date and/or time or amount of time after which the deferred request  
3 should be sent or attempted. In one embodiment, the URL request itself is stored elsewhere (not  
4 in queue 303) on receiver unit 300 in association with request ID 303B and request ID 303B is  
5 stored in queue 303 such that the request ID can later be recovered from queue 303 and be used  
6 to retrieve the request URL.

7 Next (step 407), script 306 periodically checks the status of the request by periodically  
8 calling a "status" method on deferrer object 302. Browser 301 does not send the request, but  
9 rather waits (step 408) until it has determined, based on request time 303C and/or expiration  
10 date, that the request is to be sent. In this example, the request time 303C is a date and time after  
11 which the request is to be sent. Browser 301 therefore checks timer 304 and determines whether  
12 the current time kept by time 304 exceeds request time 303C.

13 After the waiting step (the current time from timer 304 exceeds request time 303C),  
14 browser 301 connects (step 409) to network 308 and retrieves the deferred request URL 303A  
15 (step 410) from queue 303. Browser 301 then sends (step 411) the deferred request URL 303A  
16 (the second communication) to the destination specified in the URL as an HTTP request 310.  
17 The user-entered information is encoded into the URL. In the illustrated example of Figure 6,  
18 the request URL 303A is sent as HTTP request 310 to a Common Gateway Interface (CGI)  
19 program 311 on server 307. Below is an example of a URL having credit card information  
20 encoded into it:

21 <http://www.nbc.com/saleorder/deferred?ccnum=41280001>

22 The first part of this URL, "http:", indicates the protocol used. The second part "www.nbc.com"  
23 indicates the host where the CGI program 311 resides. The third part "saleorder/deferred"

1 indicates the path on the host to the CGI program 311. The fourth part is a parameter that  
2 contains user information. In this example, the parameter "ccnum=41280001" contains the  
3 credit card number.

4 CGI program 311 on server 307 responds by placing the user information from the URL  
5 in a data base 313 on server 307 and by sending an HTTP response 312 (a third communication)  
6 back to the receiver unit 300 along with an HTTP status code. In the case where web page 305 is  
7 an order form supplied by or at the request of a merchant to a potential customer, data base 313  
8 may be accessed by the merchant so that the user information stored there can be used to fill the  
9 order. Browser 301 receives HTTP response 312 (the third communication) with the status code  
10 (step 412), updates the status code information 303D for request 310 in queue 303 (step 413),  
11 and stores response 312 in queue 303 as response 303E. In some embodiments, response 303E  
12 includes a order confirmation number. This order confirmation number may be displayed on the  
13 receiver unit.

14 Script 306 periodically calls the "status" method on deferrer object 302 to query deferrer  
15 object 302 (step 414) on the status of the request designated by request ID 303B. If the returned  
16 status code indicates that a response has not been received for request 310 (step 415), then  
17 processing returns to step 414 where the query is made again.

18 If, on the other hand, the returned status code indicates that a response was received for  
19 request 310 (step 415), then processing proceeds to step 416. If the status code is an error code  
20 (for example, 4XX or 5XX series status code), then some feedback may be presented to the  
21 viewer by script 306 to indicate this status. Errors may be due to remote server 307 not being  
22 available via the network 308, server overload, a misconfiguration or bug on server 307, or other  
23 network communication problem. If the status code indicates that the request has not completed  
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(for example, 1XX status code), then script 306 may also present this to the viewer. In one embodiment, the viewer has the opportunity to review deferred requests that are queued through some part of the interface of browser 301 (for example, the email “out-box”) and optionally delete them.

If the status code indicates request 310 (the second communication) was properly received (for example, “200 OK” status code), then script 306 calls a “response” method on deferred object 302 to retrieve response content (step 416) and browser 301 displays the response content to the viewer. In embodiments where the viewer can use the email “out-box” to view queued deferred requests as described above, the viewer can be disconnected from the network for a period of time, reconnect, and then view the “response” content using the interface of browser 301 (for example, the email “in-box”). Such “response” content may include order confirmation numbers received from merchants in response to their having received orders for items in the form of deferred requests from the receiver unit.

After the HTTP response 312 has been received and the response content displayed, browser 301 calls the “remove” method on deferrer object 302 to clear request 310 (step 417) from queue 303. Methods of the deferred object in accordance with one embodiment are described below:

DEFER(URL, NAME, WHEN, EXPIRES). This method defers a request for a given URL for deferred submission. The NAME parameter is a human-readable string which may be displayed by the receiver unit when showing deferred requests. The WHEN parameter is the requested date/time for the deferred request to be sent. A value of zero indicates that the request should be made as soon as possible. The EXPIRES parameter indicates the expiration date/time of the request. After this time, the request and subsequent response will expire. A value of zero

1 indicates "as long as possible". The defer method returns a unique and opaque request ID. This  
2 request ID is typically a hash of the URL, time of day, and a random number. A response of a  
3 negative number indicates that the request was not deferred because of some error and the value  
4 of the number indicates the type of error. An error response of negative one indicates that the  
5 request was not queued due to insufficient storage space available.

6 STATUS(REQUEST ID). This method returns a response status code. The response  
7 status codes are the same as HTTP response codes. Some of the status codes include the  
8 following. "100 Continue": The queued item is still in the queue or a response has not been  
9 received from the requested server. "200 OK": The queued item has successfully been  
10 requested, and a response has been returned. "202 Accepted": The request has been accepted for  
11 processing but the processing has not been completed. "204 No Content": The queued request  
12 has been successfully requested and no response was returned. "400 Bad Request": The request  
13 could not be understood by the server due to malformed syntax. "401 Unauthorized": The  
14 request failed because it requires user authentication. "403 Forbidden": The server understood  
15 the request, but is refusing to fulfill it. "404 Not Found": The server has not found anything  
16 matching the Request-URI. "5XX errors": Server errors (5XX errors) are also valid.

17 RESPONSE(REQUEST ID). This method returns the content of the response from the  
18 server as a string.

19 RESPONSETYPE(REQUESTID). This method returns the content-type of the response  
20 from the server as a string.

21 REMOVE(REQUEST ID). This method removes the request identified by the request ID  
22 from the queue.  
23  
24

1 URL(REQUEST ID). This method returns the URL for a given queued request as a  
2 string.

3 WHEN(REQUEST ID). This method returns the scheduled time for given queued  
4 request.

5 EXPIRES(REQUEST ID). This method returns the expiration date of the request. This  
6 expiration date may be shorter than the date requested by the caller to the defer method, due to  
7 client queuing limitations.

8 The deferrer object also has the property NEXTCONNECT. This property returns the  
9 scheduled time for the next connection time for queue clearance. A zero indicates immediate  
10 connection available (i.e., already connected).

### 12 EXAMPLE

13 The following example illustrates a use of a deferrer object in a web page. The page asks  
14 the viewer to vote for or against cheese. When the viewer clicks on an image, the client defers  
15 the viewer's vote and displays an alert.

16  
17 <html>  
18 <head><title>Your feedback</title></head>  
19 <body>  
20 <object type="application/deferrer" name=deferrer>  
21 </object>  
22 <script>  
23 function DeferVote(myvolte)  
24



```
1 {  
2   if (myvote = "yes")  
3       deferrer.defer("http://voting.com.askmelater?myvote=1", "You voted yes.", 0, 0);  
4   else  
5       deferrer.defer("http://voting.com/askmelater?myvote=0", "You voted no.", 0, 0);  
6   }  
7   alert ("Thank you for your vote!");  
8 </script>  
9 <H1>VOTE NOW!</H1>  
10 <P>Are you in favor of cheese?  
11 <P><a href="javascript:DeferVote("yes")></a>  
12 <a href="javascript:DeferVote("no")></a>  
13 </body>  
14 </html>  
15
```

16 A somewhat more sophisticated implementation may have a pleasing graphical user  
17 interface and might do error checking, discovery of connection capability, and detect deferrer  
18 functionality.

19 Although the present invention is described in connection with certain specific  
20 embodiments for instructional purposes, the present invention is not limited thereto. A browser  
21 outside the interactive television context that does not respond to triggers but that is nonetheless  
22 capable of queuing and sending deferred requests is taught. The invention is not limited to the  
23 interactive television context, but rather applies more broadly to browsers in general and/or to  
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1 email programs in general. In the interactive television context a receiver unit is illustrated in  
2 connection with a set-top box implementation, but it is to be understood that the receiver unit can  
3 be integrated into a television set or can be realized on a personal computer where the personal  
4 computer monitor screen serves as the display device of the receiver unit. In some embodiments,  
5 the receiver unit is not connected to a packet-switched network during some or all of the time the  
6 request is deferred but rather connects to send the deferred request. In other embodiments, the  
7 receiver unit is connected to the packet-switched network throughout the time the request is  
8 deferred but the receiver unit waits to send the deferred request. In some embodiments, the  
9 communication giving rise to the request need not contain any special script or scheduling  
10 information that controls the deferring of the request, rather the browser simply defers the  
11 request under certain predetermined circumstances. The browser of a receiver unit can, for  
12 example, automatically defer a request a random amount of time if the request contains user  
13 information entered in response to a form, but will not defer requests containing other types of  
14 information. Alternatively, a browser can automatically defer a request that contains user  
15 information entered in response to a form until the next time the receiver unit is connected to the  
16 packet-switched network. A browser can automatically defer a request for resending if an initial  
17 attempt to send the request is determined to have failed. Deferrer capabilities of a browser may  
18 be user controllable. Software that carries out steps of methods in accordance with the present  
19 invention can be stored on a computer-readable medium. Examples of computer-readable  
20 mediums include magnetic and optical storage media and semiconductor memory. Although the  
21 specific embodiment described above involves the ordering of an item, deferred requests in  
22 accordance with the invention are usable in voting and surveys applications ("Tell us if you like  
23 this program") and in registration/sign-up applications ("Tell us if you'd like more  
24

1 information"). Rather than delaying the sending of requests in response to a broadcast first  
2 communication to spread out the receipt of the requests by a remote server, the display of queries  
3 on the receiver unit due to the broadcast first communication can be spread out over time so that  
4 associated requests that are later sent to the remote server are similarly spread out over time.  
5 Triggers used in embodiments of the invention can be triggers that identify templates as set forth  
6 in U.S. Patent Application Serial Number 09/345,223, entitled "Methods And Apparatus For  
7 Broadcasting Interactive Advertising Using Remote Advertising Templates", by Blackketter, et  
8 al., filed June 30, 1999 (the subject matter of which is incorporated herein by reference).

9 Accordingly, various modifications, adaptations, and combinations of various features of  
10 the described embodiments can be practiced without departing from the scope of the invention as  
11 set forth in the claims.

12 What is claimed is: